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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/685,366	10/14/2003	William Joseph Eakin	10018596-1	4386

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HEWLETT PACKARD COMPANY
P O BOX 272400, 3404 E. HARMONY ROAD
INTELLECTUAL PROPERTY ADMINISTRATION
FORT COLLINS, CO 80527-2400

EXAMINER

DAGOSTA, STEPHEN M

ART UNIT PAPER NUMBER

2683

DATE MAILED: 08/18/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/685,366

Applicant(s)

EAKIN, WILLIAM JOSEPH

Examiner

Stephen M. D'Agosta

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-26 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 14 October 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. ____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date 10/03.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: ____.

DETAILED ACTION

Specification

Applicant is reminded of the proper language and format for an abstract of the disclosure.

The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. It is important that the abstract not exceed 150 words in length since the space provided for the abstract on the computer tape used by the printer is limited. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this **invention**," "The disclosure describes," etc.

-- The term "**invention**" should be deleted.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 11 rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The primary examiner does not fully understand what this claim means: "receiving a communication from the wireless communication device that prevents association of the appliance ID with the security indicia so that communicating the private database to the wireless communication device is prevented". What does it mean to prevent association of the appliance ID with the security indicia so that communicating the private database to the device is prevented?

- The examiner will interpret it as the communicating of an ID to authenticate the user and prevent unauthorized users.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-26 rejected under 35 U.S.C. 103(a) as being unpatentable over Garrison US 2002/0069355 and further in view of Rezvani et al. US 2002/0077077.

As per **claims 1, 12, 19, 22 and 24-25**, Garrison teaches a method for communicating information from a private database to a wireless communication device (abstract, figure 1 and Para#33 teaches wireless communications), comprising:

receiving a private database access request from the wireless communication device, (figure 4a-b and Para#42 teaches Username/Password which uniquely ID's the user/device) ;

comparing the password with a security indicia, the security indicia associated with the wireless communication device (figure 3 teaches a Password table #55 which is checked as does figures 4a-b), and

communicating the information from the private database to the wireless communication device when the appliance ID corresponds to the security indicia (figures 4a-b teaches authenticating the user and sending the data if the user is verified) **but is silent on** the private database access request including at least an appliance identification (ID) that uniquely identifies the wireless communication device and comparing the appliance ID with security indicia.

Garrison teaches authenticating a user via username and password, as pointed out by the primary examiner above. Garrison discloses use of many different communications networks (see Para#33) and one skilled understands that this would encompass use of the Internet. Hence the client's device/computer would use TCP/IP addressing which would inherently uniquely identify the appliance ID.

Rezvani teaches using a cellular phone's ESN to uniquely identify and register an user (Para#4). Rezvani teaches the user may access a database via communication means (Para#'s 108-111, 113 teaches cellular phone access, 121, 122 and 134).

With further regard to claims 19 and 22, Garrison teaches use of password authentication and RF transmissions while Rezvani teaches use of an ESN number which reads on applicant's use of term "multiple use" (see claim 2 below as well) and transmitter/processor (see figure 1).

With further regard to claim 24, Garrison teaches a computer system/program executed on client and server (figures 2-3) with software logic shown in figures 4a-b)

It would have been obvious to one skilled in the art at the time of the invention to modify Garrison, such that the private database access request including at least an appliance identification (ID) that uniquely identifies the wireless communication device and comparing the appliance ID with security indicia, to provide added security checking of both login/password and device ID.

As per **claims 2 and 13**, Garrison teaches claim 1/12, **but is silent on** wherein the appliance ID is multiple-use identification indicia that is included in all communications from the wireless communication device.

Rezvani teaches authenticating a user via an ESN number of a cellular phone (Para #4 and 6) which reads on the applicant's use of the term "multiple-use identification" ("Appliance ID 210 is a serial number, phone number, security code, or other suitable unique identifier, of the cell phone 102 that uniquely identifies cell phone 102. Accordingly, the appliance ID 210 is referred to herein as a multiple-use unique identifier since the appliance ID 210 uniquely identifies the appliance and identifies the appliance as an authorized device to embodiments of the private database wireless access system").

It would have been obvious to one skilled in the art at the time of the invention to modify Garrison, such that the appliance ID is multiple-use identification indicia that is included in all communications from the wireless communication device, to provide means for an ID to have multiple uses (ie. used as a phone number, security check, etc.)

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As per **claims 3, 14 and 26**, Garrison teaches claim 2/13/25 **but is silent on** wherein the multiple-use identification indicia and the security indicia correspond to a telephone number of the wireless communication device.

Rezvani teaches authenticating a user via an ESN number of a cellular phone (Para #4 and 6) which reads on using the telephone number/MIN of the phone since both uniquely identify the user and can be used interchangeably.

It would have been obvious to one skilled in the art at the time of the invention to modify Garrison, such that wherein the multiple-use identification indicia and the security indicia correspond to a telephone number of the wireless communication device, to provide for associating a user to their phone for security purposes (eg. that one user will use that one phone).

As per **claim 4**, Garrison teaches claim 1 **but is silent on** wherein the appliance ID is a unique identifier included in a header information of the private database access request from the received wireless communication device.

Rezvani teaches transmitting data/header to a remote system that includes transmission of information including identification information (Para#66 and figure 4, #254/#258).

It would have been obvious to one skilled in the art at the time of the invention to modify Garrison, such that the appliance ID is a unique identifier included in a header information of the private database access request from the received wireless communication device, to provide means for transmitting the appliance ID in the overhead of a message header.

As per **claim 5**, Garrison teaches claim 1, wherein communicating further comprises transmitting the information radio frequency (RF) signal to the wireless communication device.

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As per **claim 6**, Garrison teaches claim 1, wherein receiving the private database access request further comprises receiving information selecting one of a plurality of different private databases wherein the selected private database is communicated to the wireless communication device when the appliance ID corresponds to the security indicia (figures 4a-b teach the user being verified and then having access to databases, figure 1, 20a-d).

As per **claims 7 and 15-16**, Garrison teaches claim 1/13, further comprising:
receiving a second private database access request from a second wireless communication device (Para #3 teaches authorized access by users), the second private database access request including at least a password generated by a user (Para#42);

comparing the received password with a security code, the security code uniquely associated with the user (Para#42); and

but is silent on associating a second security indicia with a second unique appliance ID of the second wireless communication device when the received password corresponds to the security code, so that the private database is communicated to the second wireless communication device.

Garrison teaches authenticating a user via username and password, as pointed out by the primary examiner above. Garrison discloses use of many different communications networks (see Para#33) and one skilled understands that this would encompass use of the Internet. Hence the client's device/computer would use TCP/IP addressing which would inherently uniquely identify the appliance ID.

Rezvani teaches using a cellular phone's ESN to uniquely identify and register an user (Para#4). Rezvani teaches the user may access a (remote) database via communication means (Para#'s 108-111, 113 teaches cellular phone access, 121, 122 and 134).

It would have been obvious to one skilled in the art at the time of the invention to modify Garrison, such that associating a second security indicia with a second unique appliance ID of the second wireless communication device when the received password

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corresponds to the security code, so that the private database is communicated to the second wireless communication device, to provide means for the system to support access by a plurality of users based on their device ID and/or login/password.

As per **claim 8**, Garrison teaches claim 7, **but is silent on** further comprising saving the second unique appliance ID as the second security indicia uniquely associated with the second wireless communication device.

Rezvani teaches using a cellular phone's ESN to uniquely identify and register an user (Para#4). The ESN is stored until the phone roams away and/or is shutoff. Hence the second appliance ID would be stored by the network/database until the user terminates contact.

It would have been obvious to one skilled in the art at the time of the invention to modify Garrison, such that it saves the second unique appliance ID as the second security indicia uniquely associated with the second wireless communication device, to provide means for keeping a user and user's device ID on record for security tracking/verification purposes.

As per **claim 9**, Garrison teaches claim 7, further comprising:

receiving a subsequent private database access request from the second wireless communication device (figures 4a-b) **but is silent on** the subsequent private database access request including at least the second unique appliance ID,

comparing the second unique appliance ID with the second security indicia, and communicating the private database to the second wireless communication device when the second unique appliance ID corresponds to the second security indicia.

Rezvani teaches using a cellular phone's ESN to uniquely identify and register an user (Para#4). Rezvani teaches the user may access a (remote) database via communication means (Para#'s 108-111, 113 teaches cellular phone access, 121, 122 and 134).

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It would have been obvious to one skilled in the art at the time of the invention to modify Garrison, such that the subsequent private database access request including at least the second unique appliance ID, AND comparing the second unique appliance ID with the second security indicia, AND communicating the private database to the second wireless communication device when the second unique appliance ID corresponds to the second security indicia, to provide means for supporting a plurality of users who can be verified before accessing the database.

As per **claim 10**, Garrison teaches claim 1, further comprising:

uniquely associating a plurality of unique passwords with a plurality of unique passwords (figure 3, #55 and Para#42)

wherein one password uniquely identifies one of a plurality of wireless communication devices and wherein each of the security indicia are uniquely associated with one of a plurality of private databases (figure 1 shows multiple databases),

receiving the private database access request from one of the plurality of wireless communication devices, the private database access request comprising at least the password of the transmitting wireless communication device and an access request to a selected private database selected from the plurality of private databases (figures 4a-b),

comparing the password of the transmitting wireless communication device with the plurality of unique security indicia (figures 4a-b); and

communicating the selected private database to the transmitting wireless communication device when the password corresponds to the security indicia of the selected private database (figures 4a-b) **but is silent on**

use of appliance IDs which are check/verified to initiate access to database(s).

Rezvani teaches using a cellular phone's ESN to uniquely identify and register an user (Para#4). Rezvani teaches the user may access a (remote) database via communication means (Para#'s 108-111, 113 teaches cellular phone access, 121, 122 and 134).

It would have been obvious to one skilled in the art at the time of the invention to modify Garrison, such that it uses appliance IDs which are check/verified to initiate access to database(s), to provide means for multiple levels of security verification to include device ID, login, password, etc..

As per **claims 11 and 18**, Garrison teaches claim 1/12, further comprising receiving a communication from the wireless communication device that prevents association of the password with the security indicia so that communicating the private database to the wireless communication device is prevented (Para#42 and figures 4a-b) **but is silent on** use of an appliance ID.

Rezvani teaches using a cellular phone's ESN to uniquely identify and register an user (Para#4). Rezvani teaches the user may access a (remote) database via communication means (Para#'s 108-111, 113 teaches cellular phone access, 121, 122 and 134).

It would have been obvious to one skilled in the art at the time of the invention to modify Garrison, such that it uses appliance IDs which are check/verified to initiate access to database(s), to provide means for multiple levels of security verification to include device ID, login, password, etc..

As per **claim 17**, Garrison teaches claim 12 **but is silent on** further comprising: selecting a portion of the received private database using a browser, and displaying the selected portion of the received private database on a display residing on the wireless communication device using the browser.

Rezvani teaches using a cellular phone's ESN to uniquely identify and register an user (Para#4). Rezvani teaches the user may access and view a (remote) database via communication means (Para#'s 108-111, 113 teaches cellular phone access, 121, 122 and 134). Note Para#108 specifically teaches "...client device 22 may include, for example, an Internet browser application that may be used to access web pages via communications network 16".

It would have been obvious to one skilled in the art at the time of the invention to modify Garrison, such that selecting a portion of the received private database using a browser, AND displaying the selected portion of the received private database on a display residing on the wireless communication device using the browser, to provide support for Internet access.

As per **claim 20**, Garrison teaches claim 19, further comprising a memory configured to store the received private database (figure 2 is the client device which comprises a memory, #22).

As per **claim 21**, Garrison teaches claim 19, further comprising:
a display (figure 2, #29) **but is silent on** a browser configured to display the received private database on the display.

Rezvani teaches using a cellular phone's ESN to uniquely identify and register an user (Para#4). Rezvani teaches the user may access and view a (remote) database via communication means (Para#'s 108-111, 113 teaches cellular phone access, 121, 122 and 134). Note Para#108 specifically teaches "...client device 22 may include, for example, an Internet browser application that may be used to access web pages via communications network 16".

It would have been obvious to one skilled in the art at the time of the invention to modify Garrison, such that it uses a browser configured to display the received private database on the display, to provide means for access via the Internet.

As per **claim 23**, Garrison teaches claim 22 further comprising security code corresponding to a user associated with the private database, so that when the received ID is not initially associated with the security indicia, a password provided by the user of the remote wireless communication device causes the multiple-use unique ID to be associated with the security indicia when the password corresponds to the security code (Para#42 teaches use of login/password which is associated with the user's device).

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

1. Chetty US 2002/052193
2. McBrearty et al. US 2003/0216136
3. Bravo et al. US 2002/0177433
4. Cook et al. US 6,427,063
5. Garrison US 6,385,730
6. Garrison US 6,275,939

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stephen M. D'Agosta whose telephone number is 571-272-7862. The examiner can normally be reached on M-F, 8am to 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bill Trost can be reached on 571-272-7872. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Stephen D'Agosta
Primary Examiner
7-11-2005

